



FEBRUARY 20, 2002

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COUDERT BROTHERS LLP
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LOS ANGELES, CA 90071



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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 12/12/2001

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
HOLTZ, BRIAN

DOC DATE: 11/07/2001

ASSIGNOR:
BALASUBRAMANIAN, VIJAY

DOC DATE: 11/28/2001

ASSIGNOR:
DUBEY, NIDHEESH

DOC DATE: 11/15/2001

ASSIGNOR:
SHARMA, ASEEM

DOC DATE: 11/28/2001

ASSIGNOR:
PANDEY, VIVEK

DOC DATE: 11/28/2001

ASSIGNEE:
SUN MICROSYSTEMS, INC.
901 SAN ANTONIO ROAD
PALO ALTO, CALIFORNIA 94303

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012400/0873 PAGE 2

SERIAL NUMBER: 10021943
PATENT NUMBER:

FILING DATE: 12/12/2001
ISSUE DATE:

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01-02-2002



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

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1. Brian Holtz
2. Vijay Balasubramanian
3. Nidheesh Dubey
4. Aseem Sharma
5. Vivek Pandey

mkp
12/12/01

2. Name and address of receiving party(ies):

SUN MICROSYSTEMS, INC.
901 San Antonio Road
Palo Alto, California 94303

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Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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- ☒ Assignment
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☐ Other:

Execution Date:

1. November 7, 2001
2. November 28, 2001
3. November 15, 2001
4. November 28, 2001
5. November 28, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

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Date of Deposit: December 12, 2001

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Jose Ramos

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 12, 2001

A. Patent Application No.(s)

10021943

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

J. D. Harriman II, Esq.
COUDERT BROTHERS LLP
333 South Hope Street, Suite 2300
Los Angeles, California 90071

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h)): \$40.00

☒ Enclosed

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J. D. Harriman II - Reg. No. 31.967

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December 12, 2001

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Washington, D.C. 20231

10/021943
12/12/01

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I (We) the undersigned, **BRIAN HOLTZ, VIJAY BALASUBRAMANIAN, NIDHEESH DUBEY, ASEEM SHARMA, and VIVEK PANDEY** hereby sell(s), assign(s) and transfer(s) to **SUN MICROSYSTEMS, INC.**, a Delaware Corporation, having a principal place of business at 901 San Antonio Road, Palo Alto, California 94303, ("Assignee"), its successors, assigns and legal representatives, my (our) entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which is being filed concurrently herewith, and is entitled:

"FILE TREE COMPARATOR"

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application; agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said

Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has (have) been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Dated: 2001-11-07

Brian Holtz
Brian Holtz

Dated: 11/28/01

Vijay
Vijay Balasubramanian

Dated: 2001-11-15

Nidheesh Dubey
Nidheesh Dubey
12/7/01

Dated: 11/28/01

Aseem Sharma
Aseem Sharma

Dated: 11/28/01

Vivek Pandey
Vivek Pandey

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Brian Holtz, et al.

Application No./Patent No.: 10/021,943

Filed/Issue Date: December 12, 2001

Entitled: File Tree Comparator

Sun Microsystems, Inc.

a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

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[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date

Signature

Jeffrey L. Myers

Typed or printed name

Patent Counsel

Title

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